

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Paton et al.

Title:

FRONT SIDE SEAL TO PREVENT GERMANIUM

OUTGASSING

Appl. No.:

10/620,194

Filing Date:

07/15/2003

Examiner:

Joannie A. Garcia

Art Unit:

2823

CERTIFICATE OF EXPRESS MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. EV 459170915 US 02/07/05 (Express Mail Label Number) (Date of Deposit) Roberta A. Cooper (Printed Name) Cooper (Signature)

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR §1.56</u>

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

02/10/2005 EABURAK1 00000151 10620194

02 FC:1806

180.00 OP

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

FEE

A fee in connection with submission of an information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Respectfully submitted,

Date 2/7/2005

FOLEY & LARDNER LLP

Telephone:

Customer Number: 26371 (414) 297-5564

Facsimile:

(414) 297-4900

Marcus W. Sprow

Attorney for Applicant Registration No. 48,580

MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Papen Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control

nu<u>mber.</u> Substitute for form 1449B/PTO

REDIEN INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted: February 7, 2005

of

2

(use as many sheets as necessary)

Sheet

Complete if Known		
Application Number	10/620,194	
Filing Date	07/15/2003	
First Named Inventor	Eric N. Paton	
Group Art Unit	2823	
Examiner Name	Joannie A. Garcia	
Attorney Docket Number	039153-0646 (H0975)	

		<u> </u>		U.S. PATENT DOCUMENTS	3	
		U.S. Patent Docu	ment	Cited Document		Pages, Columns, Lines, Where Relevant
Examiner Cite No.1	Number	Kind Code ² (if known	Date of Publication of Cited Document MM-DD-YYYY		Passages or Relevant Figures Appear	
	A1	2004/0089914	A1	Mouli et al.	05-13-2004	
	A2	2004/0061161	A1	Radens et al.	04-01-2004	
	A3	6.673.696	B1	Arasnia et al.	01-06-2004	
	A4	2003/0139051	A1	Andideh et al.	07-24-2003	
	A5	2003/0049893	A1	Currie et al.	03-13-2003	
_ 	A6	6.524.931	B1	Perera	02-25-2003	
	A7	6,468,853	B1	Balasubramanian et al	10-22-2002	
	A8	2002/0098689	A1	Chong et al.	07-25-2002	
	A9	2002/0045312	A1	Zheng et al.	04-18-2002	
	A10	6,214,696	B1	Wu	04-10-2001	
	A11	5,700,712		Schwalke	12-23-1997	
	A12	5,668,044		Ohno	09-16-1997	
	A13	5,455,194		Vasquez et al.	10-03-1995	
	A14	5,266,813		Comfort et al.	11-30-1993	
			-			

				FC	REIGN PATENT DOCUMEN	TS		
Examiner Initials*	Cite No.1	Office:	oreign Patent D	Ocument Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	A15 A16 A17	WO WO GB	02/101818 02/095818 2 254 731	A2 A1 A	Currie et al. Beyer et al. Samsung Electronics Company Limited	12/19/2002 11/28/2002 10-14-1992		
	A18	EP	0 245 622	B1	International Business Machines Corporation	11-19-1987		

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶			
	A19	Written Opinion of the International Searching Authority; International Application No. PCT/US2004/007464; International Filing Date 03-11-2004; 6 pgs.				

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22131-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 2013 1450. Alexandria, VA 22313-1450. 001.1757000.1

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

MODIFIED PTO/SB/08 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control

number.	Substitute f	or form 1449	B/PTO		Complete if Known	
	INFORMAT	ION DISCL	OSURE	Application Number	10/620,194	
	STATEMEN			Filing Date	07/15/2003	
			7 0005	First Named Inventor	Eric N. Paton	
	Date Submitt	ed: Februa	ry 7, 2005	Group Art Unit	2823	
	(use as many	sheets as i	necessary)	Examiner Name	Joannie A. Garcia	
Sheet	2	of		Attorney Docket Number	039153-0646 (H0975)	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	A20	International Search Report; International Application No. PCT/US2004/007464; mailed 01/09/2004; 3 pgs.	
	A21	OHKUBO, Satoshi; TAMURA Yasuyuki; SUGINO, Rinji; NAKANISHI, Toshiro; SUGITA, Yoshihiro; AWAJI, Naoki and TAKASAKI, Kanetake; "High Quality Ultra-Thin (4nm) Gate Oxide by UV/0 ₃ Surface Pre-Treatment of Native Oxide"; 1995 Symposium On VLSI Technology; Digest of Technical Papers; June 6-8, 1995; Kyoto, Japan; 3 pgs.	
•	A22	Written Opinion of the International Searching Authority; International Application No. PCT/US2004/000982; International Filing Date 01-13-2004; 6 pgs.	
	A23	International Search Report for PCT/US2004/000982, 4 pages.	
	A24	Wolf, Stanley, Ph.D. and Tauber, Richard N., Ph.D.; "Silicon Processing For The VLSI Era"; Vol. 1: Process Technology; Lattice Press; 1986; pp. 57-58, 194	
	A25	Ghandhi, Sorab K. "VSLI Fabrication Principles"; John Wiley and Sons; 1983; pp. 422-423	
	A26	VAN ZANT, Peter, "Microchip Fabrication", McGraw Hill, 1977, pages 31 and 39	
	A27	VOSSEN, John L. and KERN, Werner, "Thin Film Processes II, Academic Press, 1991, page 333	
	A28	RIM, K., WELSER, J., HOYT, J.L., and GIBBONS, J.F., "Enhanced Hole Mobilities in Surface-channel Strained-Si p-MOSFETs", 1995 International Electron Devices Meeting TECHNICAL DIGEST, 5 pages.	
•	A29	The second of the Portrain Department of the Portrain	

Examiner	 Date Considered	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.